

OFFICIAL

Hello

Greetings from the Australian Consulate General Chennai

We are pleased to announce the opening of two of Australian Government Grant for India – the AIPOIP & AIC. I'd be grateful if you would disseminate the details of these opportunities in your institution.

AUSTRALIA-INDIA INDO-PACIFIC OCEANS INITIATIVE PARTNERSHIP - AIPOIP

On Monday 29 November, the Australian High Commission launched the second round of Australia-India Indo-Pacific Oceans Initiative Partnership (AIPOIP) grants for applications.

The round, including further information and application guidelines, can be found [here](#) (or to search Grant Connect, under 'Grant Opportunity' enter 'GO5289'), and closes **11.59pm AEDT (6.29pm IST) on Sunday 9 January 2022**. We will be holding two information sessions in coming weeks – further details next week.

These grants support maritime projects which advance the goals of India's Indo-Pacific Oceans Initiative (IPOI), especially the marine ecology pillar that is co-led by Australia.

This follows the successful inaugural round earlier this year, the results of which Foreign Minister Payne announced on [7 July](#) and highlighted in her [third Indo-Pacific Oration](#) in New Delhi. This is another practical achievement of [CSP](#) and the Australia-India [Joint Declaration](#) on a Shared Vision for Maritime Cooperation in the Indo-Pacific.

The aim of the second round is to generate ideas and collaborations to implement the IPOI, and in particular, the marine ecology pillar for which Australia is a co-lead. The proposals should bring Australian and Indian stakeholders together – researchers, businesses and government – in a collaborative way to share expertise and resources. We welcome proposals that link Australian and Indian stakeholders with counterparts across the Indo-Pacific. We encourage proposals that nurture practical ideas that can link with existing regional mechanisms including, but not limited to, ASEAN, the Indian Ocean Rim Association (IORA) and the Pacific Islands Forum (PIF).

Australia has allocated up to A\$1.4 million (~INR 8.12 crore) to support the AIPOIP's grant rounds over four years. The AIPOIP's open competitive grant round 2 has around \$400,000 (~INR 2.1 crore) available for allocation in 2021-22.

Attached for background are the grant guidelines, and previous advice on the recipients of the first round of grants.

We encourage you to highlight this opportunity with key contacts in your organisation and where possible encourage them to attend the information sessions.

AUSTRALIA INDIA COUNCIL - AIC

The AIC 2021/22 Grant Round opened on Tuesday 16 November.

The round, including further information and application guidelines, can be found [here](#) (or to search Grant Connect, under 'Grant Opportunity' enter 'GO5225'), and closes **2.00pm AEDT (8.30am IST) on Wednesday 12 January 2022.**

The AIC is looking for high quality applications that foster ground-breaking collaboration, catalyse positive bilateral engagement and improve perceptions in both directions. In 2021/22, priority will be given to projects that:

- support implementation of the [Comprehensive Strategic Partnership](#) between India and Australia, including the [India Economic Strategy](#);
- address an identified gap or need;
- are co-funded by industry, or work to produce commercial collaborations, or demonstrate a path to continuing in future years without government funding;
- support these Australian Government priorities:
 - energy;
 - climate change;
 - critical minerals; and
 - critical technology

In 2021/22, between \$700,000 and \$800,000 is expected to be available for AIC grants, subject to appropriation. Grants will be provided to a small number of projects (up to a maximum of \$250,000 each). The Department of Foreign Affairs and Trade use online grant application powered by [SmartyGrants](#). You must log in before you can start filling out your application. The application form for the 2021/22 grant round can be found here: <https://dfat.smartygrants.com..>



International Relations Grants Program

Australia-India Council (AIC) 2021/22 Guidelines

Opening date:	09:00 Tuesday 16 November 2021 (Canberra time, UTC +11)
Closing date and time:	14:00 Wednesday 12 January 2022 (Canberra time, UTC +11)
Commonwealth policy entity:	Department of Foreign Affairs and Trade
Enquiries:	If you have any questions, please contact the Australia-India Council Secretariat by email ausindia.council@dfat.gov.au or phone +61 2 6261 3833. Questions should be sent no later than Monday 10 January 2022.
Date guidelines released:	15 November 2021
Type of grant opportunity:	Open competitive

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The International Relations Grants Program: Australia-India Council (AIC) 2021/22 Grant Round Processes

The International Relations Grants Program is designed to achieve Australian Government objectives This grant opportunity is part of the above grant program, which contributes to the Department of Foreign Affairs and Trade's Outcome 1¹ in the Portfolio Budget Statements. The Australia-India Council works with stakeholders to plan and design the grant program according to the [Commonwealth Grants Rules and Guidelines \(CGRGs\)](#).

The grant opportunity opens

We publish the grant guidelines on [GrantConnect](#), the Department of Foreign Affairs and Trade website and social media.

You complete and submit a grant application at <https://dfat.smartygrants.com.au/AIC2021-22>

You complete the application form and address all of the eligibility and assessment criteria to be considered for a grant.

We assess all grant applications

We assess the applications against eligibility criteria and notify you if you are not eligible. We assess your eligible application against the assessment criteria including an overall consideration of value for money and compare it to other applications.

We make grant recommendations

The AIC Board provides advice to the decision maker on the merits of each application.

Grant decisions are made

The Department of Foreign Affairs and Trade decision maker decides which applications are successful.

We notify you of the outcome

We advise you of the outcome of your application. We may not notify unsuccessful applicants until grant agreements have been executed with successful applicants.

We enter into a grant agreement

We will enter into a grant agreement with you if successful. The type of grant agreement is based on the nature of the grant and will be proportional to the risks involved.

Delivery of grant

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.

Evaluation of the International Relations Grant Program

We evaluate your specific grant activity and the International Relations Grants Program/Australia-India Council as a whole. We base this on information you provide to us and that we collect from various sources.

¹ The advancement of Australia's international strategic, security and economic interests including through bilateral, regional and multilateral engagement on Australian Government foreign, trade and international development priorities.

1. Introduction

These guidelines contain information for the Australia-India Council (AIC) 2021/22 Grant Round.

This document sets out:

- the purpose of the grant opportunity
- the eligibility and assessment criteria
- how grant applications are monitored and evaluated, and
- responsibilities and expectations in relation to the opportunity.

You must read this document before filling out an application.

2. About the grant program

The International Relations Grants Program (the program) is an ongoing program, subject to annual budget appropriation.

The Program promotes people-to-people links and a contemporary and positive image of Australia to support the Australian Government's international policy goals.

The objectives of the program include:

- strengthening bilateral relationships in areas of mutual interest with particular countries and regions
- build understanding, trust and influence across international networks, collaboration and connections between institutions and communities
- enhancing Australian international reputation and reach through the promotion of our economic, creative and cultural, sporting, innovation and science, and education assets, and
- increased understanding of Australians of the cultures and opportunities in each of these countries.

Grant opportunities available under the International Relations Grants Program are:

- Australia-ASEAN Council
- Australia-India Council
- Australia-Indonesia Institute
- Australia-Japan Foundation
- Australia-Korea Foundation
- Council for Australian-Arab Relations
- Council on Australia Latin America Relations
- National Foundation for Australia-China Relations

We administer the program according to the [Commonwealth Grants Rules and Guidelines](#) (CGRGs)².

The Department of Foreign Affairs and Trade reserves the right to cease selection processes for Grant Opportunities under the International Relations Grants Program.

Further information on the International Relations Grants Program, including descriptions of previous grant-funded projects, is available at www.dfat.gov.au/councils.

2.1. About the Australia-India Council (AIC) Grant Round 2021/22

The Australia-India Council (AIC) seeks to advance Australia's foreign and trade policy interests by building awareness and understanding between the peoples and institutions of Australia and India.

² <https://www.finance.gov.au/government/commonwealth-grants/commonwealth-grants-rules-guidelines>

The objectives of the AIC 2021/22 Grant Program are to:

- support continuity in people-to-people and institutional engagement between Australia and India during and following the COVID-19 pandemic, when restrictions on travel and social distancing will limit opportunities for face-to-face engagement and inward and outbound visits. In this context, the round will target online and other activities or forms of engagement that help bring people together through virtual means and build cross-cultural linkages, but do not require travel and are consistent with government restrictions in response to COVID-19;
- raise awareness of Australia in India, and of India in Australia, in a way that encourages further growth in relations between the two countries, including in the trade and investment relationship;
- promote exchange and collaboration between Australian and Indian organisations in fields of relevance to the bilateral economic partnership;
- deliver high quality programs that demonstrate Australia's economic credentials and technical excellence to influential audiences in India;
- seek community involvement in, and private sector support for Australian engagement with India including by encouraging corporate investment in collaborations that advance Australia-India relations; and
- promote examples of a successful bilateral relationship.

The AIC is looking for high quality applications that foster ground-breaking collaboration, catalyse positive bilateral engagement and improve perceptions in both directions. In 2021/22, priority will be given to projects that:

- support implementation of the [Comprehensive Strategic Partnership](#) between India and Australia, including the [India Economic Strategy](#);
- address an identified gap or need;
- are co-funded by industry, or work to produce commercial collaborations, or demonstrate a path to continuing in future years without government funding;
- support these Australian Government priorities:
 - critical technology;
 - critical minerals;
 - energy; and
 - climate change.

Key project deliverables for successful applicants:

- further the aims of the AIC including publicising the impact of the project;
- report project outcomes and expenditure;
- produce a one to two-page policy paper to inform government on key considerations relevant to the grant topic, including key learnings, future challenges, opportunities or needs, and recommendations.

3. Grant amount

The AIC grant process is very competitive and not all projects that satisfy the guidelines can be funded. In 2021/22, between \$700,000 and \$800,000 is expected to be available for AIC grants, subject to appropriation. Grants will be provided to a small number of projects (up to a maximum of \$250,000 each) that demonstrate a clear capacity to maximise impact by fostering ground-breaking collaborations that catalyse positive bilateral engagement.

Project costs should be proportionate to the scope of the grant activity, its complexity, proposed outcomes, and strategic advantage, as well as administration and sensible risk management expectations.

Co-contributions from your organisation and other parties must be included and will be regarded positively.

4. Grant eligibility criteria

We cannot consider your application if you do not satisfy the eligibility criteria.

4.1. Who is eligible to apply for a grant?

To be eligible you must be one of the following entity types:

- an Australian entity with an Australian Business Number (ABN), Australian Company Number (ACN), or Indigenous Corporation Number (ICN);
- an Australian consortium with a lead organisation³;
- an Australian registered charity or not-for-profit organisation;
- an Australian local government body;
- an Australian State/Territory government body;
- a corporate Commonwealth entity;
- an Australian statutory authority;
- an Australian citizen or permanent resident of Australia; and
- willing to provide or comply with child protection guidelines for your project if it involves people under the age of 18 years.

Applications from consortia are acceptable, provided you have a lead applicant who is the main driver of the project and is eligible per the list above. *See Section 7.3* for more information about consortia.

Individuals who intend the grant to be administered by a university should apply on behalf of the university, i.e. your university is the applicant.

Only one application per university or institution will be accepted.

4.2. Who is not eligible to apply for a grant?

You are not eligible to apply if you are:

- not an Australian citizen or permanent resident of Australia, nor an Australian organisation;
- an organisation, or your project partner is an organisation, included on the National Redress Scheme's website on the list of 'Institutions that have not joined or signified their intent to join the Scheme' (www.nationalredress.gov.au)⁴
- A previous applicant who has failed to provide a full and proper acquittal of an earlier IRGP grant.
 - You may provide an interim report with the agreement of the relevant Secretariat where existing grant funding will be expended prior to the commencement of the new grant requested.

³ The Australian Government recognises that some organisations may seek to form consortia in order to apply for a grant under the Program. Consortia are eligible to apply and the relevant conditions applicable to consortia are at 8.6 'Grant Applications from Consortia'

⁴ The National Redress Scheme for Institutional Child Sexual Abuse Grant Connected Policy makes non-government institutions named in applications to the Scheme, or in the Royal Commission into Institutional Responses to Child Sexual Abuse, that do not join the Scheme ineligible for future Australian Government grant funding. The National Redress Scheme Grant Connected Policy will come into effect on 1 January 2021. The Department of Social Services is the responsible entity for questions and advice regarding this policy (see www.dss.gov.au)

5. Grant eligibility activities

You must use the grant funds for activities outlined in project within the application form.

Grant applicants intending to carry out activities focussed on scientific exchange or research should become familiar with Australia's export control regimes as appropriate; see <https://www.dfat.gov.au/international-relations/security/non-proliferation-disarmament-arms-control/export-control-regimes/Pages/export-control-regimes>.

Similarly, the guidelines to counter foreign interference in the Australian university sector could be a useful resource; see <https://www.dese.gov.au/guidelines-counter-foreign-interference-australian-university-sector>.

5.1. What the grant money can be used for

You can use the grant to pay for costs detailed in your budget and grant agreement, including:

- economy flights, modest accommodation costs, meals and travel allowances, other transport (travel must be compliant with current Australian Government medical advice on COVID-19 restrictions);
- communication and translation;
- venue hire and catering;
- advertising and promotion, graphic design, social media, video, photography and printed material;
- production costs, including freight and artists' wages; and
- only one participant per conference or meeting, and only where the participant is a principal speaker, and the subject of the conference is of direct relevance to the grant project (attendance must be compliant with current Australian Government medical advice on COVID-19 restrictions).

For activities delivered in Australia, applicants are encouraged to consider the use of an Indigenous supplier, if they intend to subcontract any of the services above. A directory of registered Indigenous businesses is available at <https://supplynation.org.au/>.

You can only spend grant funds on eligible grant activities as defined in the grant details in your grant agreement.

Project activity must commence on or before 30 June 2022.

5.2. What the grant money cannot be used for

You cannot use the grant for the following activities:

- capital expenditure, including purchase of real estate and vehicles;
- purchase of equipment (e.g. musical instruments, computers, videos, photographic or printing equipment);
- the covering of retrospective costs or recurrent funding of activities;
- activities which are already commercially viable in their own right;
- activities which will provide commercial advantage to the applicant (e.g. promotion of the applicant's own business);
- costs incurred in the preparation of a grant application or related documentation;
- subsidy of general ongoing administration of an organisation such as electricity, phone, rent, salaries (including for research assistants or administrative staff), honoraria or administrative charges levied by the applicant's organisation;

- activities for which other Commonwealth, State, Territory or Local Government bodies have primary responsibility (e.g. academic research, assistance to business, development assistance projects);
- scholarships to individual students; and
- completed projects.

In the context of travel and social distancing restrictions due to the COVID-19 pandemic, we will not fund travel or activities that contravene social distancing or broader health advisories in Australia or the jurisdiction of the proposed activity.

Consistent with previous rounds, we do not generally fund travel and accommodation for attendance at conferences or meetings, participation in fieldwork or sporting or other events, unless such activities are considered by the Board to be of direct relevance to its objectives. To be considered, a substantial program should exist in the sidelines or around the conference and there should also be a strong argument for the selection of the applicant(s).

6. The assessment criteria

You will need to address all of the following assessment criteria in your application. We will judge your application based on how well you meet the criteria. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. The application form has limits on the number of words permitted in some fields.

In assessing your application we will review:

1. How the grant activity will contribute to the objectives of the Australia-India Council and support the Australia Government's priorities as outlined in the Comprehensive Strategic Partnership;
2. The project's ability to shift perceptions, build collaborations and be sustained over time;
3. The extent to which the project establishes innovative and dynamic linkages; overcomes an identified problem; or leverages a major event to build deeper relations between Australia and India;
4. How the project aims to identify and deliver Australia's knowledge and expertise to fill a market gap in India, in one or more of the four priority sectors, namely:
 - a. critical technology
 - b. critical minerals
 - c. energy
 - d. climate change
5. How you intend to deliver dynamic digital media and/or reach a wide and influential audience;
6. How well the project demonstrates its capacity to meet the key performance indicators built into the grant application and acquittal forms.

All assessment criteria are given equal weight and the applications will be assessed by the extent to which your application meets the criteria.

When preparing the application, applicants should bear in mind that the Assessment Committee may not be familiar with the applicant, the organisation or the field of activity. As the committee's recommendation will be primarily based on the information provided in the application form, the information provided in the application should be clear, accurate, comprehensive and focused.

7. How to apply for a grant

You must read and understand these guidelines, the [Australia-India Council Strategic Plan](#), and the application form in *SmartyGrants* before you submit an application.

7.1. Application form

The Department of Foreign Affairs and Trade use online grant application powered by [SmartyGrants](#). You must log in before you can start filling out your application. The application form for the 2021/22 grant round can be found here: <https://dfat.smartygrants.com.au/AIC2021-22>.

To apply you must:

- complete each section of the application form with the requested information;
- address all of the eligibility and assessment criteria; and
- provide two short, signed independent references.

You are responsible for ensuring that your application is complete and accurate. Giving false or misleading information will exclude your application from further consideration.

Please keep a copy of your application and any supporting papers.

We will acknowledge that we have received your grant application, through an automated email from the online grants management system, *SmartyGrants*, within one working day.

If you are shortlisted, you may be required to present your proposal to the Board by video link. If you reach this stage, we will contact you to make arrangements.

The Department of Foreign Affairs and Trade will not provide application forms nor accept applications for this grant opportunity by fax, mail or email.

7.2. Attachments to the application

We require the following documents with your application:

- two short, signed independent references;
- letter of support from nominated partners (if relevant);
- organisation capability statement or individual's curriculum vitae (optional); and
- if you apply on behalf of a university, a letter of support from your Research Office.

Your supporting documentation should be attached to the application form. There will be instructions in the application form to help you. **Only attach the documents you have been asked to include.**

For universities, the most competitive applications will be those with a letter of support from the relevant Research Office. The letter of support will need to highlight the alignment of the proposed project to the AIC grant objectives, the relevance of the project to the University's strategic goals relating to India, and demonstrate why the project could not be appropriately funded by the Australia Research Council or alternative Commonwealth funding body.

Only one application per university or institution will be accepted.

7.3. Joint (consortia) applications

Some organisations may apply as a consortium to deliver grant activities. A consortium is two or more organisations who are working together to combine their capabilities when developing and delivering a grant activity.

If you are submitting a grant application on behalf of a consortium, a member organisation or a newly created organisation must be appointed as the 'lead organisation'. Only the lead organisation will enter into a grant agreement with the Commonwealth and will be responsible for the grant. The lead organisation must complete the application form and identify all other members of the proposed consortium in the application. The application must include a letter of support from each organisation involved in the grant.

Each letter of support should include:

- an overview of how the consortium will work together to complete the grant activity;
- an outline of the relevant experience and/or expertise of the consortium members;
- the roles/responsibilities of consortium members and the resources they will contribute (if any);
- details of a nominated management level contact officer; and
- details of the lead organisation.

7.4. Application process timing

You must submit your application to the Department of Foreign Affairs and Trade (via *SmartyGrants*) between the published opening and closing dates and times. The Australian Government will only accept a late application in exceptional circumstances where permission has been granted by the Secretariat in advance of the closing time and date.

The expected start date for the grant activity is by 30 June 2022 and the expected end date is as specified in your application.

Table 1: Expected timing for this grant opportunity

Activity	Timing
Applications open	09:00 Tuesday 16 November 2021 (Canberra time, UTC +11)
Applications close	14:00 Wednesday 12 January 2022 (Canberra time, UTC +11)
Assessment of applications	8-10 weeks
Shortlisted applicants notified	March 2022
Shortlisted applicants may present proposal to the Board via video link	March/April 2022
Approval of outcomes of selection process	April 2022
Negotiations and award of grant agreements	May 2022
Notification to unsuccessful applicants	May 2022
Project activity to commence	By 30 June 2022
Project end date	Specified in application and reflected in grant agreement

7.5. Questions during the application process

If you have any questions during the application period, please contact the AIC Secretariat at ausindia.council@dfat.gov.au or phone: +61 2 6261 3833. Questions should be sent no later than 10 January 2022.

7.6. Completing the grant application

You must submit your grant application in English using the application form at *SmartyGrants*: <https://dfat.smartygrants.com.au/AIC2021-22>. The application contains a help function.

The online application form must be submitted electronically via *SmartyGrants*. If you have any **technical** difficulties please contact the SmartyGrants Help Desk (service@smartygrants.com.au, +61 3 9320 6888 between 09:00 and 17:00, Monday to Friday, Melbourne time).

The Department of Foreign Affairs and Trade will not provide application forms nor accept applications for this grant opportunity by fax, mail or email.

You must make sure that your application is complete and accurate and submitted in accordance with these Guidelines and the application form.

You cannot change your application after the closing date and time without prior approval by the Secretariat.

If you find a mistake in your application after it has been submitted or if there are changes to contact details or other information pertinent to the completion of the project, you should contact the Secretariat straight away. The Department of Foreign Affairs and Trade may ask you for more information, as long as it does not change the substance of your application. The Department of Foreign Affairs and Trade is not obliged to accept any additional information, nor to request applicants correct their applications after the closing date.

7.7. Further grant opportunities

The AIC aims to allocate all grant funding during the annual grants round and is typically oversubscribed with many suitable applications each year. If there are not enough suitable applications to meet the program's objectives, the Department of Foreign Affairs and Trade may consider applications received during the financial year, subject to available funding through direct selection or restricted processes. All potential grants will still be considered against the outcomes of the program (and criteria in these guidelines) and value for money.

8. Grant selection process

We first review your application against the eligibility criteria. Only eligible applications will move to the next stage. Eligible applications will be considered through an open competitive grant process.

If eligible, we will then assess your application against the assessment criteria (see Section 6) and against other applications.

Your application will be considered on its merits, based on:

- how well it meets the criteria;
- how it compares to other applications;
- whether it provides value for money;
- whether it ensures a reasonable spread of projects across priority sectors, as identified in the Comprehensive Strategic Partnership and in these guidelines.

Shortlisted applicants may be required to present their proposal to the AIC Board by video link. Should you be shortlisted we will contact you to make arrangements.

8.1. Who will assess applications?

The Board of the Australia-India Council will assess applications. Board members are listed on the AIC website. Board members are treated as Commonwealth officials due to their role in the assessment

process in accordance with Part 1, section 2.8 of the CGRGs and the *Public Governance, Performance and Accountability Act 2013*.

While an application may meet the assessment criteria, the award of a grant is not guaranteed.

The Australia-India Council Secretariat, within the Department of Foreign Affairs and Trade, will provide information and advice to the Board to assist its determination of policy and its assessment of grant applications.

The Board may seek additional input from relevant Australian missions overseas about you or your application. Experts in areas of priority for the grant round may be invited to comment on applications. They may also seek additional information from other Commonwealth agencies, even if the sources are not nominated by you as referees. The Board may also consider information about you or your application that is available through the normal course of business.

Shortlisted applicants may be required to pitch their proposal to the Board by video link.

If the selection process identifies unintentional errors in your application, you may be contacted to provide an explanation or correction.

8.2. Who will approve grants?

The Assessment Committee will make recommendations to the Assistant Secretary, India and Indian Ocean Branch (or their delegate) within the Department of Foreign Affairs and Trade. The delegate will make the final decision to approve a grant.

The delegate's decision is final in all matters, including:

- the approval of the grant, and
- the grant funding amount to be awarded.

The delegate will not approve funding if they reasonably consider the Program funding available is insufficient to meet project deliverables, and/or the application does not represent value for money.

The Australia-India Council reserves the right to offer less funding than that sought by the applicant.

There is no appeal mechanism for decisions to approve or not approve a grant.

9. Notification of application outcomes

We will advise you of the outcome of your application in writing following a decision by the delegate. If you are successful, we will advise you of any specific conditions attached to the grant.

If you are unsuccessful, you may submit a new application for the same project (or a similar project) in any future grant opportunity rounds. You may wish to include new or more information to address any weaknesses that may have prevented your previous application from being successful.

9.1. Feedback on your application

If you are unsuccessful, you may ask for feedback from the Department of Foreign Affairs and Trade within one month of being advised of the outcome. The Department of Foreign Affairs and Trade will give verbal feedback within one month of feedback being requested.

10. Successful grant applications

10.1. The grant agreement

If you are successful, you must enter into a legally binding grant agreement with the Commonwealth represented by the Department of Foreign Affairs and Trade. Standard terms and conditions for the grant agreement will apply and cannot be changed. Any additional conditions attached to the grant will be identified in the grant offer or during the grant agreement negotiations.

You will be required to:

- complete your communication outline within two weeks of accepting the grant offer;
- publish digital media in line with the Australia-India Council's 'A Dynamic Mix' communication platform;
- provide a one to two-page policy paper to inform government of the key considerations relevant to the grant topic explaining key learnings, future challenges, opportunities or needs and recommended appropriate Government actions, if any, in the relevant area;
- provide a final report, including financial acquittal, using the online template within 60 days of project completion;
- for multi-year grants, complete interim reports once a year using the online template;
- where conference or meeting participation of a principal speaker is funded, provide a copy of the paper presented by the participant in addition to the final report; and
- demonstrate that you have met the key performance indicators and the project specific outputs detailed in your application.

The Department of Foreign Affairs and Trade will negotiate agreements with successful applicants. If there are unreasonable delays in finalising a grant agreement, the grant offer may be withdrawn and the grant may be awarded to a different applicant.

Where a grantee fails to meet the obligations of the grant agreement, the Department of Foreign Affairs and Trade may withhold or suspend the funding to the grantee; and/or require the grantee to repay all or part of the grant. No compensation is payable by the Department for termination in these circumstances.

You should not make financial commitments until a grant agreement has been executed by the Commonwealth.

Grant Agreement

We will send you an email advising that your application has been successful, asking if you accept the grant award, and requesting further information including bank account details for payment of grant funds. You accept the offer by replying to the email. We send you the grant agreement document, you sign and return the agreement to us. We consider the agreement to be executed (take effect) from the date you sign the agreement.

10.1.1. How we pay the grant

The grant agreement will state the maximum grant amount to be paid.

We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must pay them yourself.

We will make an initial payment on execution of the grant agreement and on receipt of a valid invoice. For multi-year grants, we will make subsequent payments detailed in the grant agreement progressively based on your interim reports, including acquittals, and receipt of valid invoices.

All grants are awarded in Australian dollars. You are responsible for any financial differences that may occur from the time of the application submission to when the project takes place due to fluctuations in exchange rates

10.2. Grant payments and GST

Payments will be made as set out in the grant agreement. Where appropriate, payments will be GST Inclusive.

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek

assistance from the Australian Taxation Office (www.ato.gov.au). We do not provide advice on your particular taxation circumstances.

10.3. Announcement of grants

If successful, your grant will be listed on the GrantConnect website (www.grants.gov.au) 21 calendar days after the date of effect as required by Section 5.3 of the [CGRGs](#).

10.4. Compliance with Australian laws, regulations and policies

All applicants are responsible for ensuring compliance with Australian laws, regulations and policies that may be relevant to their proposals including, but not limited to, the *Foreign Influence Transparency Scheme*, the *Guidelines to Counter Foreign Interference in the Australian University Sector*, and Australia's export control regimes, such as the *Defence Strategic Goods List*. Applicants must also ensure that relevant personnel have Working with Vulnerable People registration and Working with Children checks where proposals involve vulnerable people and children.

11. Delivery of grant activities

11.1.1. Your responsibilities

You must submit reports in line with the timeframes in the grant agreement. Sample templates for these reports are available on the website. We will expect you to report on:

- progress against your communications plan and effectiveness in actively deepening international relationships and promoting your project and the council;
- progress against agreed project milestones;
- contributions of participants directly related to the project;
- eligible expenditure of grant funds; and
- results against key performance indicators and the project specific outputs detailed in your application.

A key project deliverable for successful applicants will be a one to two-page policy paper to inform government of the key considerations relevant to the grant topic. The paper should explain key learnings, future challenges, opportunities or need, and recommended appropriate Government actions, if any, in the relevant area.

You will also be responsible for:

- meeting the terms and conditions of the grant agreement and managing and promoting the activity efficiently and effectively in line with the 'A Dynamic Mix' communication platform;
- complying with record keeping, reporting and acquittal requirements as set out in the grant agreement;
- contacting our High Commission and Consulates-General when delivering projects in India, and the Department of Foreign Affairs and Trade state or territory office when delivering projects in Australia;
- all administrative arrangements associated with your project including visa and travel arrangements, visa charges, airport taxes, ground transport, travel and health insurance for project participants, medical and hospital insurance cover both overseas and in Australia for visitors not covered by Medicare (including evacuation and death cover), and necessary insurance for equipment and accommodation;
- other insurances, including workers' compensation as required by law, and professional indemnity, public health and liability insurance, as required by the project; and
- complying with all applicable domestic and international laws.

11.1.2. Department of Foreign Affairs and Trade's responsibilities

The Department of Foreign Affairs and Trade will:

- meet the terms and conditions set out in the grant agreement;
- provide timely administration of the grant; and
- evaluate the grantee's performance.

We will monitor the progress of your project by assessing reports you submit, may also attend project events and conduct site visits to confirm details of your reports. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

Funding under this grants program does not imply that the Department of Foreign Affairs and Trade endorses the views of recipients involved in any funded activity.

Publicity and Acknowledgement

The Australia-India Council logo and 'A Dynamic Mix' communication platform is to be used on all materials related to grants under the Program. Whenever the logo is used the publication must also acknowledge the Commonwealth as follows:

'This [name of grant activity] received grant funding from the Australia-India Council of the Department of Foreign Affairs and Trade.'

Invitations to AIC Board members and/or officers of the Department of Foreign Affairs and Trade to demonstrate Australian Government support for the project are highly desirable and can be coordinated through the Secretariat at ausindia.council@dfat.gov.au .

12. How we monitor your grant activity

12.1.1. Keeping us informed

You should let us know if anything is likely to affect your grant activity or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your grant, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details, and
- bank account details.

12.1.2. Reporting

You must submit reports in line with the grant agreement. We will expect you to report on:

- progress against agreed grant activity milestones and outcomes, and
- expenditure of the grant.

The amount of detail you provide in your reports should be relative to the size, complexity and grant amount.

12.1.3. Acquittal

When you complete the grant activity you must submit a final report or acquittal in *SmartyGrants*.

Final reports must:

- identify if and how outcomes have been achieved;

- include the agreed evidence as specified in the grant agreement;
- identify the total eligible expenditure incurred; and
- be submitted within 60 days of completion in the format provided in the grant agreement.

12.2. Grant agreement variations

We recognise that unexpected events may affect the progress of a project. In these circumstances, you can request a project variation, including:

- changing project milestones consistent with the original intent of the project;
- extending the timeframe for completing the project for a reasonable period of time; and
- varying the allocations across budget items.

The Program does not allow for an increase to the agreed amount of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the grant agreement end date. Contact the AIC Secretariat for further information. We will not consider changes after the grant agreement end date.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome;
- consistency with the Program policy objective and any relevant Department of Foreign Affairs and Trade policies;
- changes to the timing of grant payments; and
- availability of program funds.

12.3. Compliance visits

We may visit you during or at the completion of your grant activity to review your compliance with the grant agreement. We will provide you with reasonable notice of any compliance visit.

12.4. Record keeping

We may also inspect the records you are required to keep under the grant agreement.

12.5. Evaluation

The Department of Foreign Affairs and Trade will evaluate the AIC 2021/22 Grant Round activities to measure how well the outcomes and objectives have been achieved. Your grant agreement requires you to provide information to help with this evaluation.

We may contact you up to one year after you finish your grant for more information to assist with this evaluation.

13. Probity

The Australian Government will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs.

The Foreign Influence Transparency Scheme provides the public with visibility of the nature, level and extent of foreign influence on Australia's government and politics. It is recommended that you review obligations and responsibilities under the scheme at <https://www.ag.gov.au/integrity/foreign-influence-transparency-scheme> before establishing project partners.

Note: This guidelines document may be changed from time-to-time by the Department of Foreign Affairs and Trade. When this happens, the revised guidelines will be published on GrantConnect and the Department's website at: <http://dfat.gov.au/councils>.

13.1. Enquiries and feedback

All complaints about a grant process must be lodged in writing with the Secretariat.

Any questions you have about grant decisions for the Program should be sent to ausindia.council@dfat.gov.au.

If you do not agree with the way the Department of Foreign Affairs and Trade has handled your complaint, you may complain to the [Commonwealth Ombudsman](#). The Ombudsman will not usually look into a complaint unless the matter has first been raised directly with the Department of Foreign Affairs and Trade.

The Commonwealth Ombudsman can be contacted at:

Phone (Toll free): 1300 362 072
Email: ombudsman@ombudsman.gov.au
Website: www.ombudsman.gov.au

13.2. Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if the Department of Foreign Affairs and Trade staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently, or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/ grant opportunity.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform the Department of Foreign Affairs and Trade in writing immediately. Committee members and other officials including the decision maker must also declare any conflicts of interest.

The chair of the Assessment Committee will be made aware of any conflicts of interest and will handle them as set out in Australian Government policies and procedures. Conflicts of interest for Australian Government staff will be handled as set out in the Australian [Public Service Code of Conduct \(Section 13\(7\)\)](#) of the [Public Service Act \(1999\)](#). Committee members and other officials including the decision maker must also declare any conflicts of interest.

We publish our conflict of interest policy on the Department of Foreign Affairs and Trade website.

13.3. Privacy

We treat your personal information according to the [Privacy Act \(1988\)](#) and the [Australian Privacy Principles](#). This includes letting you know:

- what personal information we collect;
- why we collect your personal information; and
- to whom we give your personal information.

You are required, as part of your application, to declare your ability to comply with the *Privacy Act (1988)*, including the Australian Privacy Principles and impose the same privacy obligations on any subcontractors you engage to assist with the activity. You must ask for the Australian Government's consent in writing before disclosing confidential information.

The Australian Government may also use and disclose information about grant applicants and grant recipients under this grant opportunity in any other Australian Government business or function. This includes disclosing grant information on GrantConnect as required for reporting purposes and giving information to the Australian Taxation Office for compliance purposes.

We may share the information you give us with other Commonwealth entities for purposes including government administration, research or service delivery, according to Australian laws.

As part of your application, you declare your ability to comply with the *Privacy Act 1988* and the Australian Privacy Principles and impose the same privacy obligations on officers, employees, agents and subcontractors that you engage to assist with the activity, in respect of personal information you collect, use, store, or disclose in connection with the activity. Accordingly, you must not do anything, which if done by the Department of Foreign Affairs and Trade would breach an Australian Privacy Principle as defined in the Act.

13.4. Confidential Information

Other than information available in the public domain, you agree not to disclose to any person, other than the Department of Foreign Affairs and Trade, any confidential information relating to the grant application and/or agreement, without our prior written approval. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

We may at any time require you to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

We will keep any information in connection with the grant agreement confidential to the extent that it meets all of the three conditions below:

1. you clearly identify the information as confidential and explain why we should treat it as confidential;
2. the information is commercially sensitive; and
3. revealing the information would cause unreasonable harm to you or someone else.

We will not be in breach of any confidentiality agreement if the information is disclosed to:

- the committee and other Commonwealth employees and contractors to help us manage the program effectively;
- employees and contractors of our department so we can research, assess, monitor and analyse our programs and activities;
- employees and contractors of other Commonwealth agencies for any purposes, including government administration, research or service delivery;
- other Commonwealth, State, Territory or local government agencies in program reports and consultations;
- the Auditor-General, Ombudsman or Privacy Commissioner;
- the responsible Minister or Parliamentary Secretary; or
- a House or a Committee of the Australian Parliament.

The grant agreement may also include any specific requirements about special categories of information collected, created or held under the grant agreement.

13.5. Freedom of information

All documents in the possession of the Australian Government, including those about this grant opportunity, are subject to the [Freedom of Information Act 1982](#) (FOI Act).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

All Freedom of Information requests must be referred to the Freedom of Information Coordinator in writing.

By mail: The Director, Freedom of Information and Privacy Law Section
Corporate Law Branch
Department of Foreign Affairs and Trade
The R G Casey Building
John McEwen Crescent
BARTON ACT 0221

By email: foi@dfat.gov.au

14. Glossary

Term	Definition
accountable authority	see subsection 12(2) of the Public Governance, Performance and Accountability Act 2013
assessment criteria	the specified principles or standards, against which applications will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive grant opportunity, to determine application rankings.
commencement date	the expected start date for the grant activity.
completion date	the expected date that the grant activity must be completed and the grant spent by.
co-sponsoring entity	when two or more entities are responsible for the policy and the appropriation for outcomes associated with it.
date of effect	can be the date on which a grant agreement is signed or a specified starting date. Where there is no grant agreement, entities must publish information on individual grants as soon as practicable.
decision maker	the person who makes a decision to award a grant.
eligibility criteria	refer to the mandatory criteria which must be met to qualify for a grant. Assessment criteria may apply in addition to eligibility criteria.
Commonwealth entity	a Department of State, or a Parliamentary Department, or a listed entity or a body corporate established by a law of the Commonwealth. See subsections 10(1) and (2) of the PGPA Act.

Term	Definition
Commonwealth Grants Rules and Guidelines (CGRGs)	establish the overarching Commonwealth grants policy framework and articulate the expectations for all non-corporate Commonwealth entities in relation to grants administration. Under this overarching framework, non-corporate Commonwealth entities undertake grants administration based on the mandatory requirements and key principles of grants administration.
grant	for the purposes of the CGRGs, a 'grant' is an arrangement for the provision of financial assistance by the Commonwealth or on behalf of the Commonwealth: <ul style="list-style-type: none"> a. under which relevant money⁴ or other Consolidated Revenue Fund (CRF) money⁵ is to be paid to a grantee other than the Commonwealth; and b. which is intended to help address one or more of the Australian Government's policy outcomes while assisting the grantee achieve its objectives.
grant activity/activities	refers to the project/tasks/services that the grantee is required to undertake.
grant agreement	sets out the relationship between the parties to the agreement, and specifies the details of the grant.
GrantConnect	is the Australian Government's whole-of-government grants information system, which centralises the publication and reporting of Commonwealth grants in accordance with the CGRGs.
grant opportunity	refers to the specific grant round or process where a Commonwealth grant is made available to potential grantees. Grant opportunities may be open or targeted, and will reflect the relevant grant selection process.
grant program	a 'program' carries its natural meaning and is intended to cover a potentially wide range of related activities aimed at achieving government policy outcomes. A grant program is a group of one or more grant opportunities under a single [entity] Portfolio Budget Statement Program.
grantee	the individual/organisation which has been selected to receive a grant.
PBS Program	described within the entity's Portfolio Budget Statement , PBS programs each link to a single outcome and provide transparency for funding decisions. These high-level PBS programs often comprise a number of lower level, more publicly recognised programs, some of which will be Grant Programs. A PBS Program may have more than one Grant Program associated with it, and each of these may have one or more grant opportunities.
selection criteria	comprise eligibility criteria and assessment criteria.
selection process	the method used to select potential grantees. This process may involve comparative assessment of applications or the assessment of applications against the eligibility criteria and/or the assessment criteria.

⁴ Relevant money is defined in the PGPA Act. See section 8, Dictionary.

⁵ Other CRF money is defined in the PGPA Act. See section 105, Rules in relation to other CRF money.

Term	Definition
value for money	<p>value for money in this document refers to ‘value for relevant money’ which is a judgement based on the grant proposal representing an efficient, effective, economical and ethical use of public resources and determined from a variety of considerations.</p> <p>When administering a grant opportunity, an official should consider the relevant financial and non-financial costs and benefits of each proposal including, but not limited to:</p> <ul style="list-style-type: none"> • the quality of the project proposal and activities; • fitness for purpose of the proposal in contributing to government objectives; • that the absence of a grant is likely to prevent the grantee and government’s outcomes being achieved; and • the potential grantee’s relevant experience and performance history.

15. Appendix A: Acronyms

Acronyms used in these guidelines, online and within application forms.

Acronym	Description
ABN	Australian Business Number
ACN	Australian Company Number
AIC	Australia-India Council
CGRGs	Commonwealth Grants Rules and Guidelines
FCI	Foundations, Councils and Institutes
FOI	Freedom of Information
GST	Goods and Services Tax
ICN	Indigenous Corporation Number
IRGP	International Relations Grants Program
PBS	Portfolio Budget Statement
PGPA Act	Public Governance, Performance and Accountability Act

16. Appendix B: Frequently Asked Questions

Can you tell me if my proposed activities meet the strategic priorities of the AIC?

The AIC Grant Guidelines ('the Guidelines') provide information on the strategic priorities for each grant round and should be closely reviewed prior to submitting an application.

In 2021/22, the focus will be on projects that support implementation of the Comprehensive Strategic Partnership and AIC priority areas of critical technology, critical minerals, energy and climate change.

Am I eligible to apply for a grant?

Section 4 of the Guidelines outlines who is, and is not, eligible to apply for a grant.

Can my application be reviewed by the Board before I submit it?

The AIC Board and Secretariat cannot provide advice on individual grant applications. For reasons of probity, the AIC Board and the Secretariat cannot meet to discuss individual applications.

Can grant funding be used for programs in any country?

Funding will only be provided for activities in Australia and/or India.

How will my application be assessed?

Every application will be assessed based on the criteria set out in section 6 of the Guidelines.

Can grant funding be used to support ongoing administrative costs?

Funding cannot be used for continuing administration including phone, rent, or salaries for research assistants or other staff.

Can grant funding be used to support the salaries of staff employed to work exclusively on the project?

Grant funding may be applied to staff working exclusively on the project, such as an external consultant.

Can funding be used for the purchase of equipment?

The Guidelines specify that grant funding cannot be used for capital expenditure or the purchase of equipment.

We would encourage co-contributions / funding from other parties for those aspects of the activity through a grant partner.

If I am shortlisted what and how should I present to the Board?

Shortlisted applicants will be given at least two weeks' notice if they are required to present to the Board, including information about what and how they will need to present to the Board.

Are joint research collaborations between institutes in Australia and India eligible under the AIC grants program?

Joint research collaborations between Australia and India are eligible to apply for an AIC grant.

The Guidelines state that only one application per university or institution will be eligible for approval. Does this mean that each institution can submit only one application?

Only one application per university or institution will be accepted.

When will successful grants be announced?

Successful grants in the 2021/22 Round will be announced around May 2022.

When does the project need to commence?

Grant activity must commence by 30 June 2022.

Australia-India Indo-Pacific Oceans Initiative Partnership (AIPOIP) – 2nd Grants Round (2021-22)

Opening date:	Monday 29 November 2021
Closing date and time:	23.59 AEDT on Sunday 9 January 2022
Commonwealth policy entity:	Department of Foreign Affairs and Trade
Administering entity	Department of Foreign Affairs and Trade
Enquiries and Submission of Applications:	Email AIPOIP grants committee at Tiffany.Seah@dfat.gov.au and maki.shinosaki@dfat.gov.au Questions should be sent no later than close of business Wednesday 5 January 2022
Date guidelines released:	Friday 26 November 2021
Type of grant opportunity:	Open competitive
Grant Information Sessions:	Information Session 1 Wednesday 8 December 2021, 4pm AEDT/10.30am New Delhi India Time by logging on at: https://meet128.webex.com/meet/pr1581047923 Information Session 2 Thursday 16 December 2021, 4pm AEDT/10.30am New Delhi India Time by logging on at: https://meet128.webex.com/meet/pr1581047923

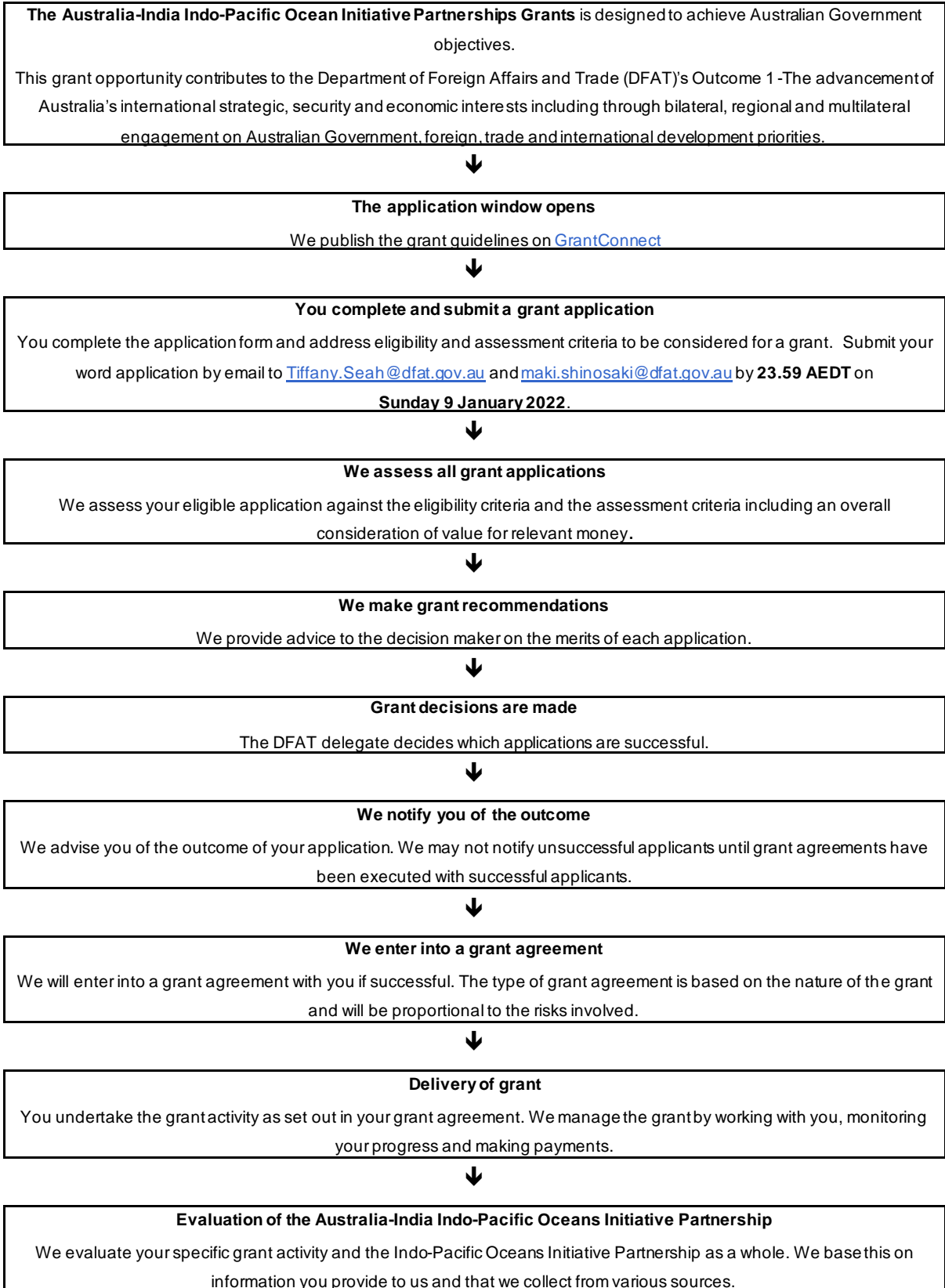
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1 Australia-India Indo-Pacific Oceans Initiative Partnership– Grants Process

DFAT has worked with stakeholders to plan and design the program according to the [Commonwealth Grants Rules and Guidelines \(CGRGs\)](#). A brief outline of the process is below.



1.1 Introduction

These guidelines contain information for the Australia-India Indo-Pacific Oceans Initiative Partnership (AIPOIP) grant opportunity.

You must read these guidelines before filling out an application.

This document sets out:

- the purpose of the grant program/grant opportunity
- the eligibility and assessment criteria
- how grant applications are considered and selected
- how grantees are notified and receive grant payments
- how grantees will be monitored and evaluated
- responsibilities and expectations in relation to the opportunity.

2 About the grant program

The Australia-India Indo-Pacific Oceans Initiative Partnership (AIPOIP) helps shape maritime cooperation in the Indo-Pacific to support an open, inclusive, resilient, prosperous and rules-based maritime order.

In 2020-21, the AIPOIP generated ideas and cooperation to begin implementation of the IPOI, which is feeding into actions taken by both governments and non-government actors. In 2021-22, the AIPOIP focuses on building areas of practical cooperation between Australia, India, and the Indo-Pacific along specific areas which the Australian and Indian governments have identified as priorities, particularly in the field of combating marine plastic waste and marine ecology.

The AIPOIP sits under the Australia-India [Joint Declaration](#) on a Shared Vision for Maritime Cooperation in the Indo-Pacific as part of the Australia-India Comprehensive Strategic Partnership announced during Leaders' Virtual Summit on 4 June 2020. India and Australia are committed to work together bilaterally, regionally and multilaterally, and in minilateral arrangements, to support regional architecture in line with their shared values and interests. The AIPOIP also aligns with other bilateral arrangements, including Australia-India Maritime and 2+2 Dialogues, and with our cooperation with ASEAN under its Outlook on the Indo-Pacific.

We administer the program according to the [Commonwealth Grants Rules and Guidelines](#) (CGRGs)¹.

2.1 Seed funding to implement the IPOI

Prime Minister Modi announced the IPOI at the 14th East Asia Summit in Bangkok in November 2019. The initiative will drive deeper engagement between India and regional partners to collaboratively safeguard the oceans; enhance maritime security; preserve marine resources; build capacity and fairly share resources; reduce disaster risk; enhance science, technology and academic cooperation; and promote free, fair and mutually beneficial trade and maritime transport. Australia has undertaken to be a lead partner on the maritime ecology pillar.

¹ <https://www.finance.gov.au/sites/default/files/commonwealth-grants-rules-and-guidelines.pdf>

Box 1 – The Indo-Pacific Oceans Initiative (IPOI)

The Indo-Pacific Oceans Initiative (IPOI), announced by Prime Minister Narendra Modi at the 14th East Asia Summit on 4 November 2019 at Bangkok, has seven pillars:

1. Maritime Ecology
2. Maritime Security
3. Marine Resources
4. Capacity Building and Resource Sharing
5. Disaster Risk Reduction and Management
6. Science, Technology and Academic Cooperation
7. Trade, Connectivity and Maritime Transport

The seed funding under this Partnership is available to partners to progress the IPOI initiative to a stage where more intergovernmental activities take place. This opportunity is set to catalyse ideas for the IPOI to pursue in future years by focusing on the maritime ecology pillar, on which Australia is the lead partner.

We will support proposals that bring together Australian and Indian stakeholders – across researchers, businesses and government – in a collaborative way to share expertise and resources.

We encourage proposals that nurture cooperation under the IPOI that links with existing regional mechanisms and arrangements including ASEAN, the Indian Ocean Rim Association (IORA) and the Pacific Islands Forum (PIF).

The Partnership grants model acknowledges the powerful role that think tanks play in India's foreign policy development, and the potential for think tank-led initiatives to help define and accelerate India and others' planning for the IPOI. It also creates opportunities to strengthen links between Indian and Australian think tanks and government officials through academic and research collaborations.

The IPOI has strong synergies with ASEAN's Outlook on the Indo-Pacific, the Australia-ASEAN Plan of Action adopted in 2019 and with the India-ASEAN Plan of Action (2021-2025) adopted in 2020.

Box 2 – ASEAN's Outlook on the Indo-Pacific

The ASEAN Outlook on the Indo-Pacific (AOIP) was adopted by leaders on 23 June 2019. It makes it clear that ASEAN views the Asia-Pacific and Indian Ocean regions not as contiguous territorial spaces, but as a closely integrated and interconnected region with ASEAN playing a central and strategic role.

The AOIP highlights the importance of the maritime domain in the evolving regional architecture. It sets out four areas of maritime cooperation in accordance with the principles of international law, including the 1982 United Nations Convention on the Law of the Sea:

- Cooperation on the peaceful settlement of disputes, maritime safety and security, freedom of navigation and overflight and transnational crimes.
- Cooperation to promote the sustainable management of marine resources, maritime connectivity, protection of the livelihoods of coastal and fishing communities, the blue economy and maritime commerce.

- Cooperation to address marine pollution, sea-level rise, marine debris, preservation and protection of the marine environment and biodiversity promoting green shipping.
- Technical cooperation in marine science, research and development, the sharing of experiences and best practices, capacity-building, managing marine hazards, marine debris and raising awareness on marine and ocean-related issues.

2.2 Objectives of the AIPOIP Grant Opportunity

The AIPOIP 2021-22 grant opportunity has three overarching objectives:

1. to strengthen Australia-India cooperation by deepening institutional linkages between Australian and Indian researchers, businesses and government on maritime issues
2. to build partnerships, regional consensus, generate ideas and advance practical cooperation under maritime ecology pillar of the IPOI, including on:
 - a. Understanding marine pollution dynamics in the Indo-Pacific, including tools to better understand spatio-temporal distribution of micro-plastics, and detection of floating marine plastic
 - b. Regional marine plastics management
 - c. Innovative approaches to building the circular economy
 - d. Tools and innovations to segregate plastics based on types and improving reliability of information about recycled plastics.
3. other practical ideas for how the IPOI can link with existing regional mechanisms and arrangements, in particular ASEAN, IORA and the PIF.

In assessing applications, the Commonwealth of Australia through the Department of Foreign Affairs and Trade (DFAT) will prioritise initiatives that:

- use a collaborative and multi-stakeholder model that combines knowledge and resources from stakeholders in Australia, India and (where appropriate) a third country
- build links with, and leverage, the ASEAN Outlook on the Indo-Pacific (AOIP) to drive closer engagement with Southeast Asia
- mainstream gender equality in the implementation of grant activities to support women's empowerment
- generate multi-platform outputs (e.g. publications, webinars, conferences and exchanges)
- promote a rules-based maritime order underpinned by adherence to international law, including by increasing knowledge of rights and obligations under the 1982 United Nations Convention on the Law of the Sea (UNCLOS)
- propose innovative models and strategies to mitigate marine pollution and plastic waste, including by facilitating dialogue with industry on new recycling technologies; and/or
- promote scientific and technical cooperation on marine plastic waste.

3 Grant amount and grant period

3.1 Grants available

The AIPOIP's competitive open call grant round 2 is anticipated to have around **\$400,000** available for allocation in 2021-22.

Prospective grantees cannot use funding from other Commonwealth, state, territory or local government sources to fund your share of eligible expenditure. DFAT reserves the right to offer less funding than that requested by the applicant.

4 Eligibility criteria

You can apply for grants under any Commonwealth program, but if your applications are successful, you must choose either a grant under the AIPOIP or the other Commonwealth grant.

4.1 Who is eligible to apply for a grant?

To be eligible you must:

- be located in India and have a partner institution that is located in Australia for the purposes of the activity; or
- be located in Australia and have a partner institution that is located in India for the purposes of the activity

and be one of the following entity types:

- a company incorporated in Australia or India
- a company incorporated by guarantee
- an incorporated trustee on behalf of a trust
- an incorporated association
- a partnership
- a joint (consortia) application with a lead organisation
- a not-for-profit organisation
- a university or think tank or research organisation
- an Australian state or territory government body
- an Indian sub-national government body.

Joint applications involving more than one organisation are acceptable. Multi-stakeholder involvement (including from businesses, non-government organisations, and/or government bodies) is encouraged where appropriate and eligible. Applications involving partners based in third countries in the Indo-Pacific (including but not limited to Japan, Indonesia, Singapore and other ASEAN countries) are encouraged. For further information on joint applications, refer to section 7.2.

5 What the grant money can be used for

5.1 Eligible grant activities

To be eligible your project must:

- support the intended objectives of the AIPOIP and by addressing the objectives under Section 2.2 and the assessment criteria; and
- include eligible activities and eligible expenditure.

Eligible activities must relate directly to the project and could include:

- joint research projects which result in publications
- industry specific user guides, advisories, standards etc
- traditional research outputs of books, chapters, journal articles and conference publications
- technical training, conferences, dialogues, workshops, seminars and events
- mentoring and support in developing IPOI implementation frameworks
- new research-related information sharing and communication initiatives

- exchanges and secondments of personnel between Australia and India
- collaboration mechanisms across industries or levels of government
- other innovative solutions that promote best practice.

We may also approve other activities.

5.2 Eligible expenditure

You can only spend the grant on eligible expenditure you have incurred on eligible grant activities. Expenditure must be reasonable and proportional to the grant size and in line with the project outcome.

Eligible expenditure items are:

- Personnel - Eligible labour expenditure for the grant covers the direct labour costs of employees you directly employ on the core elements of the project. Partners will be asked to provide the name, designation, responsibility and number of hours given to the project of staff whose salary/consultancy fees are covered from project funds. DFAT will ask for these supporting documents at the time of due diligence. Partners will be asked to submit copies of payment receipts in their mid-term and/or end of year report.
- Direct costs of the eligible grant activities.
- Travel – Costs for domestic and/or international economy class airfares and modest accommodation and per diems that are essential for delivery of the project. Please consider carefully to what extent travel is likely to be feasible during the proposed timeframe of your project. The class of travel and per diems should be in line with DFAT's travel policy (available here).
- Equipment – DFAT will only fund 'small equipment' or software specific to the project proposal. 'Small equipment' is defined as equipment under a total of AUD10,000 that is used collaboratively and, where possible, is Australian made. Computing equipment or software should be specialised and required for the completion of the project. DFAT will not fund general equipment or software that would be normally provided by institutions, such as standard computers or the Microsoft software suite.
- Monitoring, evaluation and learning (MEL) – As a key component of the program, costs associated with MEL processes and activities to inform program reporting and learning should be identified separately within the indicative budget outline
- Administrative support. Indian partners should comply with the latest Foreign Contribution (Regulation) Amendment Rules regarding the cap on spend on administrative expenses. In line with the Foreign Contribution (Regulation) Amendment Act, 2020, no Indian lead partner receiving funds directly from DFAT should transfer funds to the secondary/local partners or stakeholders of the project.

5.3 What the grant money cannot be used for

You cannot use the grant for the following activities:

- any activities, equipment or supplies that are already being supported through other sources (including support from the Australian Government)
- indirect costs of the project, including:
 - institutional overheads and administrative charges
 - membership of professional organisations and groups
 - non-project-related staff training and development costs

- staff recruitment and relocation costs
- debt financing
- capital expenditure for the purchase of assets such as office furniture and equipment, motor vehicles, computers, printers or photocopiers and the construction, renovation or extension of facilities such as buildings and laboratories
- general administrative costs including rental, insurances, government fines/ charges and utilities.

6 The assessment criteria

You must address the following assessment criteria in the application. We will assess your application based on the weighting given to each criterion. An application should get **minimum 50 points** (30 in Criterion 1 and 20 in Criterion 2) for it to qualify for the final assessment stage.

The amount of detail and supporting evidence you provide in your application should be relative to the size, complexity and grant amount requested.

Criterion 1

Explain how your project will support the objectives and priorities of the AIPOIP outlined in Section 2.2 (**60 points**).

You should demonstrate this by identifying:

- .1. the specific problem or issue your project will address, why it needs to be addressed, and how your project will address it, including the rationale for your approach;
- .2. the expected outcomes and benefits of your project and how they would contribute to the objectives of the AIPOIP.

Criterion 2

Explain how your and your partner's(s') capacity, capability and resources to deliver the project (**40 points**).

You should demonstrate this by identifying:

- 2.1 your access to personnel with the right skills and experience, including management and technical staff, and to any infrastructure, capital equipment, technology and intellectual property required for the project;
- 2.2 that you have, or your plans to develop, a sound project plan to manage and monitor the project;
- 2.3 how the grant activity is achievable in the context of travel, social distancing and gathering restrictions that may be in relevant locations, and how people will participate and engage in the grant activity in a potentially restrictive environment; and
- 2.4 (if any part of the project requires the participation of stakeholders in a third country) your experience, expertise and relationships in the third country).

7 How to apply

Before applying, you must read and understand these guidelines available at [GrantConnect](#) under '[Grant Opportunities](#)'. Any alterations and addenda² will be published on GrantConnect and by registering on this website, you will be automatically notified on any changes. GrantConnect is the authoritative source for grants information.

To apply you must:

- Complete an application in a word document, addressing the eligibility and assessment criteria to be considered for a grant. Provide all the information requested
- include all necessary attachments (see 7.1)
- email your application/s by **23.59 AEDT on Sunday 9 January 2022**.

You cannot change your application after the closing date and time. If we find an error or information that is missing, we may ask for clarification or additional information from you that will not change the nature of your application. However, we can refuse to accept any additional information from you that would change your submission after the application closing time.

You should keep a copy of your application and any supporting documents.

We will acknowledge that we have received your application.

7.1 Attachments to the application

We require the following documents with your application:

- an indicative budget
- a project management plan including timeline
- if applicable (for Indian partners), your Foreign Contribution Regulation Act (FCRA) certificate
- If applicable (for joint applications), a letter of support from partner organisations (see 7.2)

7.2 Joint (consortia) applications

Organisations may join to form a group of organisations for the purposes of delivering a grant activity or project. In this circumstance, you must appoint a 'lead organisation'. Only the lead organisation can submit the application form and enter into a grant agreement with the Commonwealth. The application must identify all other members of the proposed group and include a letter of support from each of the partners.

Each letter of support must include:

- details of the partner organisation
- an overview of how the partner organisation will work with the lead organisation and any other partner organisations in the group to successfully complete the grant activity or project
- an outline of the relevant experience and/or expertise the partner organisation will bring to the group
- the roles/responsibilities of the partner organisation and the resources they will contribute (if any)

² Alterations and addenda include but are not limited to: corrections to currently published documents, changes to close times for applications, Questions and Answers (Q&A) documents and Frequently Asked Questions (FAQ) documents

- details of a nominated management level contact officer.

You must have a formal arrangement in place with all parties prior to execution of the agreement.

7.3 Timing of grant opportunity processes

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Applications open	Monday 29 November 2021
Applications close	23.59 AEDT on Sunday 9 January 2022
Assessment of applications	January 2022
Approval of outcomes of selection process	February 2022
Award of grant agreements	February 2022
Notification to unsuccessful applicants	March 2022
Expected commencement date of grant activity	March 2022

7.4 Questions during the application process

If you have any questions during the application period, contact Tiffany.Seah@dfat.gov.au and/or maki.shinosaki@dfat.gov.au

Questions should be sent no later than **close of business Wednesday 5 January 2022**.

8 The grant selection process

8.1 Assessment of grant applications

We first review your application against the eligibility criteria (clause 4.1).

If eligible, we will then assess your application against the assessment criteria (see Section 6) and against other applications. We consider your application on its merits, based on:

1. how well it meets the criteria
2. how it compares to other applications
3. whether it provides value for relevant money.

When assessing the extent to which the application represents value for relevant money, we will have regard to:

- the overall objective/s to be achieved in providing the grant
- the relative value of the grant sought
- the extent to which the evidence in the application demonstrates that it will contribute to meeting the outcomes/objectives.
- How the application will serve to leverage productive partnerships between stakeholders.
- The risks, if any, inherent in your proposal.

8.2 Who will assess applications?

An assessment committee will assess each application on its merit and compare it to other eligible applications before recommending to a decision-making committee which grant applications should be awarded a grant. The assessment committee will comprise of Assistant Secretary, India and Indian Ocean Branch (AS IBB) or their representative; Assistant Secretary, Indo-Pacific Strategy and Regional Maritime Branch (AS IMB) or their representative; and a representative from the Australian High Commission, New Delhi. The committee may seek additional information about you or your application. The assessment committee may seek additional information from other parties to help us assess or verify the quality or fidelity of applications (e.g. this may include references checks in relation to performance against past projects).

8.3 Who will approve grants?

The decision-making committee, chaired by the Program Delegate, will decide on which applications to approve for a grant. The Program Delegate will take into account the recommendations of the assessment committee and the availability of grant funds for the purposes of the grant program.

The Program Delegate's decision is final in all matters, including:

- the approval of the grant
- the grant funding amount to be awarded
- the terms and conditions of the grant.

There is no appeal mechanism for decisions to approve or not approve a grant.

9 Notification of application outcomes

We will advise you of the outcome of your application on email. If you are successful, we will advise you of any specific conditions attached to the grant.

10 Successful grant applications

10.1 The grant agreement

You must enter into a legally binding grant agreement with the Commonwealth.

We must execute a [letter of agreement](#) with you before we can make any payments.

Your grant agreement may have specific conditions determined by the assessment process or other considerations made by the Program Delegate. We will identify these in the agreement.

The Commonwealth may recover grant funds if there is a breach of the grant agreement.

10.2 Specific legislation, policies and industry standards

You must comply with all relevant laws and regulations in undertaking your project. You must also comply with the specific legislation/policies/industry standards that follow. It is a condition of the grant funding that you meet these requirements. We will include these requirements in your grant agreement.

10.2.1 Intellectual Property Rights

In cases of activities that include a research component (i.e. research and development of technical standards), applicants must provide details of any intellectual property (IP) arrangements in their applications. This includes both the use of IP in the project and the proposed ownership

rights to IP generated by the project as well as strategies for protecting Australia's interests. Where IP is likely to be generated by the project, successful applicants are encouraged to conclude protocols or contracts between all relevant collaborating partners on the management of IP issues. These agreements should be in accordance with laws and regulations in Australia and India and provide for:

- adequate and effective protection and equitable distribution of any benefits from IP rights created in or resulting directly from cooperative activities (foreground IP rights)
- ownership of foreground IP rights to be allocated on the basis of respective contribution and equitable interests
- terms and conditions for the commercialisation and other forms of dissemination of the foreground IP rights
- adequate and effective protection of IP rights provided by the organisations, enterprises and institutions prior to or in the course of such cooperative activities, for example, the licensing or utilisation of such IP rights on equitable terms (background IP rights).

Australian participants should approach IP negotiations in line with the principles outlined on business.gov.au.

10.2.2 Export Controls

As this program may involve research collaboration with foreign entities, some provisions of Australia's export controls regime may apply to your project. It is your responsibility to consider the implications, if any, of the relevant legislation on the proposed project before submitting your application, and to comply with any applicable requirements if it is successful. Further information is available here: <https://www.defence.gov.au/ExportControls/Legislation.asp>.

10.3 How we pay the grant

We will make payments according to an agreed schedule set out in the grant agreement. Payments are subject to satisfactory progress on the grant activity or the project.

10.4 Grants Payments and GST

Payments will be GST Inclusive.

Grants are assessable income for taxation purposes, unless exempted by a taxation law. We recommend you seek independent professional advice on your taxation obligations or seek assistance from the [Australian Taxation Office](https://www.ato.gov.au/).³ We do not provide advice on your particular taxation circumstances.

11 Announcement of grants

If successful, your grant will be listed on the GrantConnect website 21 calendar days after the date of effect as required by Section 5.3 of the [CGRGs](#).

12 How we monitor your grant activity

12.1 Keeping us informed

You should let us know if anything is likely to affect your grant activity or project. We need to know of any key changes to your organisation or its business activities, particularly if they affect your

³ <https://www.ato.gov.au/>

ability to complete your grant, carry on business and pay debts due. If you become aware of a breach of terms and conditions under the grant agreement, you must contact us immediately.

12.2 Reporting

Successful applicants will be engaged under a Grant Agreement with DFAT that will detail agreed implementation, accountability, funding, reporting and acquittal requirements. DFAT may request applicants amend parts of their proposals, such as project plans or risk management approaches, during this process.

12.3 Audited financial acquittal report

We may ask you to provide an independently audited financial acquittal report. A financial acquittal report will verify that you spent the grant in accordance with the grant agreement.

12.4 Grant agreement variations

We recognise that unexpected events may affect your progress. In these circumstances, you can request a variation to your grant agreement. You can request a variation by writing to tiffany.seah@dfat.gov.au.

You should not assume that a variation request will be successful. We will consider your request based on provisions in the grant agreement and the likely impact on achieving outcomes.

12.5 Compliance visits

We may visit you during or at the completion of your grant activity to review your compliance with the grant agreement. We will provide you with reasonable notice of any compliance visit.

12.6 Record keeping

We may also inspect the records you are required to keep under the grant agreement.

12.7 Evaluation

We will evaluate the grant program or opportunity to measure how well the outcomes and objectives have been achieved. We may use information from your application and reports for this purpose. We may also interview you, or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes.

12.8 Acknowledgement

A Grant Agreement for successful applications may specify requirements for acknowledgements on all materials related to grants under the program.

13 Probity

The Australian Government will make sure that the grant opportunity process is fair, according to the published guidelines, incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct and is consistent with the CGRGs. These guidelines may be changed from time-to-time. When this happens, the revised guidelines will be published on GrantConnect.

13.1 Enquiries and feedback

The complaints procedures available at DFAT's website apply to complaints about AICCTP grants. The procedure for making a complaint about AICCTP grants is available on [DFAT's website](#). All complaints about a grant process must be lodged in writing.

If you are at any time dissatisfied with DFAT's handling of a complaint, you can contact the Commonwealth Ombudsman on:

If you do not agree with the way the DFAT has handled your complaint, you may complain to the [Commonwealth Ombudsman](#). The Ombudsman will not usually look into a complaint unless the matter has first been raised directly with DFAT.

The Commonwealth Ombudsman can be contacted on:

Phone (Toll free): 1300 362 072

Email: ombudsman@ombudsman.gov.au

Website: www.ombudsman.gov.au

13.2 Conflicts of interest

Any conflicts of interest could affect the performance of the grant opportunity or program. There may be a conflict of interest, or perceived conflict of interest, if DFAT's staff, any member of a committee or advisor and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian Government officer
- has a relationship with or interest in, an organisation, which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the grant program/ grant opportunity.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify an actual, apparent, or perceived conflict of interest, you must inform DFAT in writing immediately.

Conflicts of interest for Australian Government staff will be handled as set out in the Australian [Public Service Code of Conduct \(Section 13\(7\)\)](#) of the [Public Service Act 1999](#). Committee members and other officials including the decision maker must also declare any conflicts of interest.

Further information on our conflict of interest policy is available at on the DFAT website in the [Conduct and Ethics Manual](#).

13.3 Privacy

We treat your personal information according to the [Privacy Act 1988](#) and the [Australian Privacy Principles](#). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- who we give your personal information to.

Your personal information can only be disclosed to someone else for the primary purpose for which it was collected, unless an exemption applies.

The Australian Government may also use and disclose information about grant applicants and grant recipients under this grant opportunity in any other Australian Government business or function. This includes disclosing grant information on GrantConnect as required for reporting purposes and giving information to the Australian Taxation Office for compliance purposes.

We may share the information you give us with other Commonwealth entities for purposes including government administration, research or service delivery, according to Australian laws.

As part of your application, you declare your ability to comply with the *Privacy Act 1988* and the Australian Privacy Principles and impose the same privacy obligations on officers, employees, agents and subcontractors that you engage to assist with the activity, in respect of personal information you collect, use, store, or disclose in connection with the activity. Accordingly, you must

not do anything, which if done by the DFAT would breach an Australian Privacy Principle as defined in the Act.

13.4 Confidential Information

Other than information available in the public domain, you agree not to disclose to any person, other than us, any confidential information relating to the grant application and/or agreement, without our prior written approval. The obligation will not be breached where you are required by law, Parliament or a stock exchange to disclose the relevant information or where the relevant information is publicly available (other than through breach of a confidentiality or non-disclosure obligation).

We may at any time, require you to arrange for you; or your employees, agents or subcontractors to give a written undertaking relating to nondisclosure of our confidential information in a form we consider acceptable.

We will keep any information in connection with the grant agreement confidential to the extent that it meets all of the three conditions below:

1. you clearly identify the information as confidential and explain why we should treat it as confidential
2. the information is commercially sensitive
3. revealing the information would cause unreasonable harm to you or someone else.

We will not be in breach of any confidentiality agreement if the information is disclosed to:

- the assessment committee, decision-making committee and other Commonwealth employees and contractors to help us manage the program effectively
- employees and contractors of our department so we can research, assess, monitor and analyse our programs and activities
- employees and contractors of other Commonwealth agencies for any purposes, including government administration, research or service delivery
- other Commonwealth, State, Territory or local government agencies in program reports and consultations
- the Auditor-General, Ombudsman or Privacy Commissioner
- the responsible Minister or Parliamentary Secretary, and
- a House or a Committee of the Australian Parliament.

The grant agreement may also include any specific requirements about special categories of information collected, created or held under the grant agreement.

13.5 Freedom of information

All documents in the possession of the Australian Government, including those about this grant opportunity, are subject to the [Freedom of Information Act 1982](#) (FOI Act). The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

All Freedom of Information requests must be referred to the Freedom of Information Coordinator in writing.

By post: The Director, Freedom of Information and Privacy Law Section Legal Division
Department of Foreign Affairs and Trade
R G Casey Building, John McEwen Crescent BARTON ACT 0221

By email: foi@dfat.gov.au

14 Additional Conditions

Prospective grantees should note that the grant agreement (the Agreement) will stipulate that successful grantees must agree to comply with the following DFAT conditions (14.1 to 14.7).

14.1 Fraud

Fraud means dishonestly obtaining a benefit, or causing a loss, by deception or other means, and includes attempted, alleged, suspected or detected fraud.

The Grantee must take all reasonable steps to prevent and detect Fraud in relation to this Agreement.

If the Grantee becomes aware of any Fraud involving any activities funded in whole or in part under the Agreement, the Grantee must notify the Commonwealth within 5 business days.

This clause survives the expiry or termination of the Agreement.

14.2 Prohibited dealings

The Grantee must ensure that individuals, persons, entities or organisations involved in implementing the Grant Activity, including itself and its personnel:

- (a) are not directly or indirectly engaged in, preparing, planning, assisting in or fostering the doing of a terrorist act;
- (b) are not, and do not become listed on the 'List of Terrorist Organisations' made under *the Criminal Code Act 1995* (Cth) and related regulations, posted at <https://www.nationalsecurity.gov.au/Listedterroristorganisations/Pages/default.aspx>;
- (c) are not, and do not become listed on the 'Sanctions List' made under the *Charter of the United Nations Act 1945* (Cth) and the *Autonomous Sanctions Act 2011* (Cth), and related legislation, posted at <http://dfat.gov.au/international-relations/security/sanctions/pages/consolidated-list.aspx>;
- (d) are not, and do not become listed on and the World Bank's "Listing of Ineligible Firms and Individuals" posted at <http://web.worldbank.org/external/default/main?theSitePK=84266&contentMDK=64069844&menuPK=116730&pagePK=64148989&piPK=64148984>;
- (e) are not acting on behalf of, or at the direction of, individuals, persons, entities or organisations listed on the Lists referred to in subparagraphs (b) to (d);
- (f) are not owned or controlled by individuals, persons, entities or organisations mentioned in subparagraphs (b) to (d); and
- (g) do not to provide direct or indirect support, resources or assets (including the Commonwealth funding under any Grant [Activity](#)) to individuals, persons, entities or organisations associated with terrorism or mentioned in subparagraphs (b) to (d).
- (h) The Grantee will inform the Commonwealth immediately if it discovers that it or a contractor, sub-contractor or grant recipient has or may have contravened this clause.

- (i) This clause survives the expiry or termination of this Agreement.

14.3 Anti-corruption

The Grantee warrants that the Grantee and its personnel have not made or caused to be made, or received or sought to receive, any offer, gift or payment, consideration or benefit of any kind, which would or could be construed as an illegal or corrupt practice, either directly or indirectly to any party, as an inducement or reward in relation to the execution of this Agreement.

The Grantee must not, and must ensure that its personnel do not:

- (a) make or cause to be made, or receive or seek to receive, any offer, gift or payment, consideration or benefit of any kind, which would or could be construed as an illegal or corrupt practice, either directly or indirectly to any party, as an inducement or reward in relation to this Agreement; or
- (b) engage in any practice that could contravene the Australian offence of bribing a foreign public official.

14.4 Child protection

The Grantee must comply, and must ensure that its contractors, subcontractors and personnel comply with DFAT's Child Protection Policy, accessible at <http://www.dfat.gov.au/childprotection/>.

The Commonwealth may conduct a review of the Grantee's compliance with the Child Protection Policy. The Commonwealth will give reasonable notice to the Grantee and the Grantee must participate co-operatively in any such review.

If the Commonwealth finds that the Grantee has failed to comply with the Child Protection Policy, the Grantee must promptly, and at the cost of the Grantee, take such actions as are required to ensure compliance with the Child Protection Policy.

If an individual, the Grantee must sign and return to the Commonwealth, the Child Protection Professional Behaviours at Attachment B to DFAT's Child Protection Policy.

A successful Grantee will be required to sign and return to the Commonwealth, the Working with Vulnerable People Statement of Compliance.

14.5 Intellectual Property

The Grantee owns the Intellectual Property Rights in Material created by the Grantee as a result of undertaking the Grant Activity.

The Grantee provides the Commonwealth a permanent, non-exclusive, irrevocable, royalty-free licence to use, modify, communicate, reproduce, publish, adapt and sub-license the Reporting Material for Commonwealth Purposes. The licence does not apply to Activity Material.

The Grantee represents and warrants that the Commonwealth's use of the Reporting Material for Commonwealth Purposes will not infringe the Moral Rights of any person that contributed to the Material in the Reporting Material.

This Agreement does not affect the ownership of Intellectual Property Rights in Existing Material.

The following definitions apply to this clause:

- (a) 'Activity Material' means any Material, other than Reporting Material, created or developed by the Grantee as a result of the Grant Activity and includes any Existing Material that is incorporated in or supplied with the Activity Material.
- (b) 'Commonwealth Purposes' does not include commercialisation or the provision of the Activity

Material to a third party for its commercial use.

- (c) 'Existing Material' means Material developed independently of this Agreement.
- (d) 'Intellectual Property Rights' means all copyright, patents, registered and unregistered trademarks (including service marks), registered designs, and other rights resulting from intellectual activity (other than moral rights under the *Copyright Act 1968* (Cth)).
- (e) 'Material' includes documents, equipment, software (including source code and object code versions), goods, information and data stored by any means including all copies and extracts of them.
- (f) 'Moral Rights' means a right of attribution of authorship; a right not to have authorship falsely attributed; or a right of integrity of authorship.
- (g) 'Reporting Material' means all Material which the Grantee is required to provide to the Commonwealth for reporting purposes as specified in this Agreement.

14.6 Governing Law

This Agreement is governed by the law of the Australian Capital Territory, Australia.

14.7 Preventing Sexual Exploitation Abuse and Harassment (PSEAH)

The Recipient must comply and must ensure that individuals and organisations involved in implementing the Activity comply, with DFAT's Preventing Sexual Exploitation, Abuse and Harassment Policy, accessible at <http://www.dfat.gov.au/pseah>.

DFAT may conduct a review of the Recipient's compliance with DFAT's Preventing Sexual Exploitation, Abuse and Harassment Policy. DFAT will give reasonable notice (at least fourteen (14) days) to the Recipient and the Recipient must participate co-operatively in any such review.

The Recipient must report to seah.reports@dfat.gov.au any suspected or alleged case of sexual exploitation, abuse and harassment that relates to the Activity within 48 hours of becoming aware of the case. The Recipient must report to DFAT any alleged incidents of non-compliance with DFAT's Preventing Sexual Exploitation, Abuse and Harassment Policy within five (5) business days.

In reporting to DFAT as required pursuant to clause 8. Privacy, the Recipient must comply with the Privacy Act 1988 (Cth) and the privacy provisions in the Sexual Exploitation, Abuse and Harassment (SEAH) Incident Notification Form, available on DFAT's website.

14.8 Foreign Influence Transparency Scheme (FITS)

The Recipient will be expected to register certain activities (registrable activities) under the scheme that are undertaken in Australia on behalf of a foreign principal, for the purpose of political or governmental influence.

If you have registration obligations under the scheme, please go to the [Registration portal](#) to register. Details about the scheme and its obligations are accessible at <https://www.ag.gov.au/integrity/foreign-influence-transparency-scheme/fits-resources>

If you have any further questions after reading through the documents below, please contact us using the [enquiry form](#).